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ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

40 North Central Avenue
Phoenix, Arizona 85004-4429
Telephone: (602) 262-5311

Jesse B. Simpson, Arizona State Bar No. 011099
Direct Dial: (602) 262-5387
Direct Fax: (602) 734-3741
EMail: jsimpson@lrlaw.com

Attorneys for UBS Financial Services Inc.

BEFORE THE ARIZONA CORPORATION COMMISSION

In the Matter of:

UBS SECURITIES LLC and UBS
FINANCIAL SERVICES INC.,

Respondents.

No. S20732A-10-0095

ORDER RE: MOTION TO
ASSOCIATE COUNSEL *PRO HAC*
VICE

Based on the Motion to Associate Counsel *Pro Hac Vice* of Jesse B. Simpson and the consent of Jesse B. Simpson to appear as local counsel, it is hereby ordered that Therese L. Surprenant be admitted *pro hac vice* as counsel for UBS Securities and UBS Financial Services Inc. in this matter.

DATED this ____ day of _____, 2010.

Commissioner

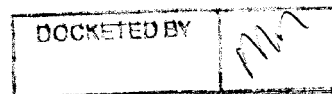
ORIGINAL filed this ____ day of
April, 2010, and a COPY mailed to:

Cheryl T. Farson
General Counsel
Securities Division
Arizona Corporation Commission
1200 W. Washington
Phoenix, AZ 85007

Arizona Corporation Commission

DOCKETED

APR 20 2010



LEWIS
AND
ROCA
LLP
LAWYERS

1 Therese Surprenant
2 Director
3 Associate General Counsel
4 UBS Financial Services Inc.
5 98 San Jacinto Blvd. Suite 600
6 Austin, Texas 78701

7 Jesse B. Simpson
8 Lewis and Roca
9 40 N. Central Ave.
10 Phoenix, AZ 85004
